

DT03 Rec'd PCT/PTO

13 JAN 2005

## CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: January 11, 2005 Name: Tadashi Horie Reg. No. 40,437 Signature: Tadashi Horie

BRINKS  
HOFFER  
GILSON  
& LIONE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Kazuhiro YAMADA et al.

Appln. No.: 10/500,885

Filed: July 7, 2004

For: CONTENTS TRANSMISSION METHOD  
AND CONTENTS TRANSMISSION  
SYSTEM

Examiner: To Be Assigned

Art Unit: To Be Assigned

Attorney Docket No: 9683/197

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

## TRANSMITTAL

Sir:

## Attached is/are:

- ☒ Information Disclosure Statement, Copy of International Search Report, Form PTO-1449, 8 Cited References (A2-9)
- ☒ Return Receipt Postcard

## Fee calculation:

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

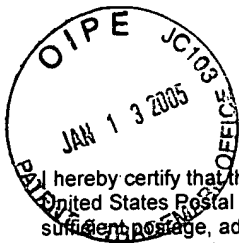
## Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

January 11, 2005  
Date

Tadashi Horie  
Tadashi Horie (Reg. No. 40,437)

**CERTIFICATE OF MAILING**

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January 11, 2005

Date of Deposit

Tadashi Horie Reg. No. 40,437

Name of Applicant, Assignee or  
Registered Representative

Signature

January 11, 2005

Date of Signature

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: Kazuhiro YAMADA et al.

Appln. No.: 10/500,885

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METHOD AND CONTENTS  
TRANSMISSION SYSTEM

Attorney Docket No: 9683/197

Examiner: To Be Assigned

Art Unit: To Be Assigned

**INFORMATION DISCLOSURE STATEMENT**

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following references:

U.S. DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME
6,317,742 B1	11/13/2001	Nagaratnam et al.

FOREIGN DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY
WO 98/21683 A2	05/22/1998	WIPO
WO 00/42498 A1	07/20/2000	WIPO
2001-117769 A	04/27/2001	Japan
2001-243062 A	09/07/2001	Japan
2003-050641 A	02/21/2003	Japan

OTHER ART – NON PATENT LITERATURE DOCUMENTS	
(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.	
Kaku, T. et al., "Introduction to Java Programming in i-mode, 1 <sup>st</sup> Edition" <i>Nikkei Business Publications, Inc.</i> , March 26, 2001, pp.37-41	
"i Appli Service & 503i Series" <i>Business Communication</i> , vol.38, No.2, February 1, 2001, pp. 44-47	
Jaworski, J., "Java 2 Security Programming" <i>Java Security Handbook</i> , 1 <sup>st</sup> Edition, April 25, 2001, pp.79-90, 529-541	

Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). References A1-A9 were cited in an International Search Report for the PCT application from which this present application has been nationalized. The Search Report is attached to this Statement for Examiner's convenience. Reference A3 is in Japanese but has an English abstract on the first page of the publication. References A4-A9 are also in Japanese. For each of A4-A9, Applicants are submitting an English abstract.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

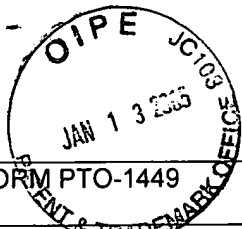
Respectfully submitted,

January 11, 2005

Date



Tadashi Horie (Reg. No. 40,437)



FORM PTO-1449	SERIAL NO. 10/500,885	CASE NO. 9683/197
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE July 7, 2004	GROUP ART UNIT To Be Assigned
(use several sheets if necessary)		APPLICANT(S): Kazuhiro YAMADA et al.

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	6,317,742 B1	11/13/2001	Nagaratnam et al.		
	A					
	A					
	A					
	A					
	A					

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A2	WO 98/21683 A2	05/22/1998	WIPO		
	A3	WO 00/42498 A1	07/20/2000	WIPO		Abstract
	A4	2001-117769 A	04/27/2001	Japan		Abstract
	A5	2001-243062 A	09/07/2001	Japan		Abstract
	A6	2003-050641 A	02/21/2003	Japan		Abstract

## OTHER ART – NON PATENT LITERATURE DOCUMENTS

(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)

EXAMINER INITIAL		
	A7	Kaku, T. et al., "Introduction to Java Programming in i-mode, 1 <sup>st</sup> Edition" <i>Nikkei Business Publications, Inc.</i> , March 26, 2001, pp.37-41
	A8	"i Appli Service & 503i Series" <i>Business Communication</i> , vol.38, No.2, February 1, 2001, pp. 44-47
	A9	Jaworski, J., "Java 2 Security Programming" <i>Java Security Handbook</i> , 1 <sup>st</sup> Edition, April 25, 2001, pp.79-90, 529-541
	A	
	A	
	A	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.